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PTO/SB/05 (03-01)
Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 4860P2739
First Inventor Jason Klivington
Title GENERATION AND USE OF MASKS IN MPEG VIDEO ENCODING TO
Express Mail Label No. EL034436347US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 23]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the invention
 - Brief Summary of the invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 4]
5. Oath or Declaration [Total Pages]
 - a. ☐ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 C.F.R. § 1.63(d))
(for continuation/divisional with Box 18 completed)
 - i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76
7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all of the following are necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statements verifying identity of above copies
9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 C.F.R. § 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☒ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i).
Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other: _____

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No: _____

Prior application Information: Examiner _____

Group/Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. CORRESPONDENCE ADDRESS

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Name (Print/Type)

Paul A. Mendonsa

Registration No. (Attorney/Agent)

42,800

Signature

Paul A. Mendonsa

Date

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMMENTS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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<h2 style="margin: 0;">FEE TRANSMITTAL for FY 2000</h2> <p style="font-size: small; margin: 0;">Patent fees are subject to annual revision.</p>		<p>Complete if Known</p> <table border="1" style="width:100%; border-collapse: collapse;"> <tr><td>Application Number</td><td></td></tr> <tr><td>Filing Date</td><td></td></tr> <tr><td>First Named Inventor</td><td>Jason Klivington</td></tr> <tr><td>Examiner Name</td><td></td></tr> <tr><td>Group/Art Unit</td><td></td></tr> <tr><td>Attorney Docket No.</td><td>4860P2739</td></tr> </table>		Application Number		Filing Date		First Named Inventor	Jason Klivington	Examiner Name		Group/Art Unit		Attorney Docket No.	4860P2739
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<p>METHOD OF PAYMENT (check one)</p> <p> <input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other <input type="checkbox"/> None </p> <p> <input type="checkbox"/> Deposit Account </p> <p> Deposit Account Number: 02-2666 Deposit Account Name: Blakely, Sokoloff, Taylor & Zafman LLP </p> <p>The Commissioner is authorized to: (check all that apply)</p> <p> <input type="checkbox"/> Charge fee(s) indicated below <input type="checkbox"/> Credit any overpayments <input type="checkbox"/> Charge any additional fee(s) during the pendency of the application <input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account </p> <p style="text-align: center;">FEE CALCULATION</p> <table border="1" style="width:100%; border-collapse: collapse;"> <tr> <th colspan="2">1. BASIC FILING FEE</th> <th colspan="2"></th> <th></th> </tr> <tr> <th>Large Entity</th> <th>Small Entity</th> <th>Fee Code</th> <th>Fee (\$)</th> <th>Fee Paid</th> </tr> <tr><td>101</td><td>201</td><td>740</td><td>370</td><td>740.00</td></tr> <tr><td>106</td><td>206</td><td>330</td><td>165</td><td></td></tr> <tr><td>107</td><td>207</td><td>510</td><td>255</td><td></td></tr> <tr><td>108</td><td>208</td><td>740</td><td>370</td><td></td></tr> <tr><td>114</td><td>214</td><td>160</td><td>80</td><td></td></tr> <tr> <td colspan="4" style="text-align: right;">SUBTOTAL (1)</td> <td>740.00</td> </tr> </table> <p>2. EXTRA CLAIM FEES</p> <table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td>Total Claims</td> <td>15</td> <td>20*</td> <td>0</td> <td>x</td> <td>18.00</td> <td>=</td> <td>\$0.00</td> </tr> <tr> <td>Independent Claims</td> <td>3</td> <td>3*</td> <td>0</td> <td>x</td> <td>84.00</td> <td>=</td> <td>\$0.00</td> </tr> <tr> <td>Multiple Dependent</td> <td></td> <td></td> <td></td> <td></td> <td></td> <td>=</td> <td></td> </tr> </table> <table border="1" style="width:100%; border-collapse: collapse;"> <tr> <th>Large Entity</th> <th>Small Entity</th> <th>Fee Code</th> <th>Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> <tr><td>103</td><td>203</td><td>18</td><td>9</td><td>Claims in excess of 20</td><td></td></tr> <tr><td>102</td><td>202</td><td>84</td><td>42</td><td>Independent claims in excess of 3</td><td></td></tr> <tr><td>104</td><td>204</td><td>280</td><td>140</td><td>Multiple Dependent claim, if not paid</td><td></td></tr> <tr><td>109</td><td>209</td><td>84</td><td>42</td><td>**Reissue independent claims over original patent</td><td></td></tr> <tr><td>110</td><td>210</td><td>18</td><td>9</td><td>**Reissue claims in excess of 20 and over original patent</td><td></td></tr> <tr> <td colspan="4" style="text-align: right;">SUBTOTAL (2)</td> <td></td> <td>0.00</td> </tr> </table> <p style="font-size: x-small;">*or number previously paid, if greater. For Reissues, see below</p>	1. BASIC FILING FEE					Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Paid	101	201	740	370	740.00	106	206	330	165		107	207	510	255		108	208	740	370		114	214	160	80		SUBTOTAL (1)				740.00	Total Claims	15	20*	0	x	18.00	=	\$0.00	Independent Claims	3	3*	0	x	84.00	=	\$0.00	Multiple Dependent						=		Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid	103	203	18	9	Claims in excess of 20		102	202	84	42	Independent claims in excess of 3		104	204	280	140	Multiple Dependent claim, if not paid		109	209	84	42	**Reissue independent claims over original patent		110	210	18	9	**Reissue claims in excess of 20 and over original patent		SUBTOTAL (2)					0.00	<p>3. 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late filing fee or oath		127	227	50	25	Surcharge - late provisional filing fee or cover sheet		139	139	130	130	Non-English specification		147	147	2,520	2,520	For filing a request for <i>ex parte</i> reexamination		112	112	920*	920*	Requesting publication of SIR prior to Examiner action		113	113	1,840*	1,840*	Requesting publication of SIR after Examiner action		115	215	110	55	Extension for reply within first month		116	216	400	200	Extension for reply within second month		117	217	920	460	Extension for reply within third month		118	218	1,440	720	Extension for reply within fourth month		128	228	1,960	980	Extension for reply within fifth month		119	219	320	160	Notice of Appeal		120	220	320	160	Filing a brief in support of an appeal		121	221	280	140	Request for oral hearing		138	138	1,510	1,510	Petition to institute a public use proceeding		140	240	110	55	Petition to revive - unavoidable		141	241	1,280	640	Petition to revive - unintentional		142	242	1,280	640	Utility issue fee (or reissue)		143	243	460	230	Design issue fee		144	244	620	310	Plant issue fee		122	122	130	130	Petitions to the Commissioner		123	123	50	50	Processing fee under 37 CFR 1.17(q)		126	126	180	180	Submission of Information Disclosure Stmt		581	581	40	40	Recording each patent assignment per property (times number of properties)		146	246	740	370	Filing a submission after final rejection (37 CFR § 1.129(a))		149	249	740	370	For each additional invention to be examined (37 CFR § 1.129(b))		179	279	740	370	Request for Continued Examination (RCE)		169	169	900	900	Request for expedited examination of a design application		Other fee (specify) _____						SUBTOTAL (3)					0.00
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SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	Paul A. Mendonsa	Registration No.	42,879
Signature		Telephone	(503) 684-6200
		Date	JAN 7, 2002

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**NONPUBLICATION REQUEST
UNDER
35 U.S.C. 122(b)(2)(B)(i)**

First Named Inventor	Jason Klivington
Title	GENERATION AND USE OF MASKS IN MPEG VIDEO ENCODING TO INDICATE
Attorney Docket Number	4860P2739

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

I hereby request that the attached application not be published under 35 U.S.C. 122(b).

JAN 7, 2002
Date


Signature

Paul A. Mendonsa, Reg. No. 42,879

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 112(b)(2)(B)(iii)).**